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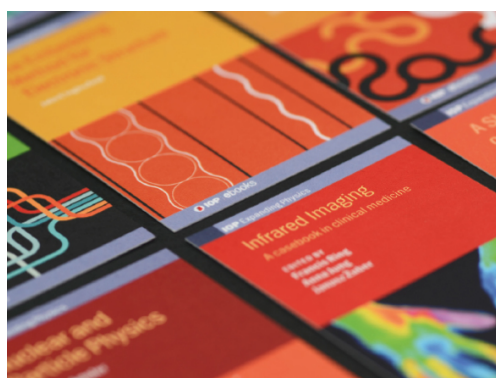


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# Machine learning approach to muon spectroscopy analysis

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## Abstract

In recent years, artificial intelligence techniques have proved to be very successful when applied to problems in physical sciences. Here we apply an unsupervised machine learning (ML) algorithm called principal component analysis (PCA) as a tool to analyse the data from muon spectroscopy experiments. Specifically, we apply the ML technique to detect phase transitions in various materials. The measured quantity in muon spectroscopy is an asymmetry function, which may hold information about the distribution of the intrinsic magnetic field in combination with the dynamics of the sample. Sharp changes of shape of asymmetry functions—measured at different temperatures—might indicate a phase transition. Existing methods of processing the muon spectroscopy data are based on regression analysis, but choosing the right fitting function requires knowledge about the underlying physics of the probed material. Conversely, PCA focuses on small differences in the asymmetry curves and works without any prior assumptions about the studied samples. We discovered that the PCA method works well in detecting phase transitions in muon spectroscopy experiments and can serve as an alternative to current analysis, especially if the physics of the studied material are not entirely known. Additionally, we found out that our ML technique seems to work best with large numbers of measurements, regardless of whether the algorithm takes data only for a single material or whether the analysis is performed simultaneously for many materials with different physical properties.

**Keywords:** machine learning, muon spectroscopy, muon spin relaxation experiment, principal component analysis, identifying phase transitions, time-reversal symmetry breaking superconductors

(Some figures may appear in colour only in the online journal)

## 1. Introduction

Machine learning (ML) methods are now widely used in many areas of physics, usually as a tool to analyse large amounts of data [1–3]. These techniques are particularly useful in regression, classification and dimensionality reduction tasks which are often required in processing scientific data. Specifically in

condensed matter physics, ML is well suited for many tasks ranging from predicting materials properties based on existing databases and pattern recognition in specific experimental data to analysing theoretical models of quantum materials. Prominent examples include the prediction of novel materials [4–6], identification of phase transitions in models of magnetic materials starting from Ising models [7–12], reaching complex spin liquids in Heisenberg systems [13] and the detection of entanglement transitions from simulated neutron scattering

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data [14]. ML algorithms were also proven to be state of the art techniques in simulations of wave functions [15] or density matrices [16–19] for many-body quantum systems and the tomographic reconstruction of many-body wave functions from experimental data [20].

Much of the research in this area so far is concerned with simulation or analysing simulated data, however it has also been shown that such techniques can detect phase transitions from piezoelectric relaxation measurements [21] or discovering existence of translational symmetry-breaking states from real, electronic quantum matter images [22]. Here we want to apply a simple dimensionality reduction algorithm to real data from muon spin rotation ( $\mu$ SR) experiments [23] to see if we can detect phase transitions for a range of different materials. We decided to use the data from this type of experiment since models used in  $\mu$ SR data analysis require previous understanding of the local environment of muons inside probed sample, which is not always easily available. Therefore, as an alternative, we propose the use of linear principal component analysis (PCA), a simple unsupervised ML technique which does not make any prior assumption, yet is known to reveal correlations within the data. By demonstrating that this approach works, we propose that it may serve as a more unbiased way of detecting phase transitions observed in  $\mu$ SR experiments. In this paper we apply PCA to  $\mu$ SR data from a small number of superconducting and magnetic materials whose physics are known to differ widely from each other. In particular we explore the technique for data from time reversal symmetry breaking (TRSB) superconductors, which are among the most difficult to analyse, since changes in experimental data are very subtle. Other materials that we have tested are a symmetry breaking antiferromagnet ( $\text{BaFe}_2\text{Se}_2\text{O}$ ) and a spin liquid ( $\text{LuCuGaO}_4$ ). We find some evidence that PCA can detect important features such as phase transitions. We also find that when the system is trained on all the materials, taken together, the results improve—even though the materials chosen have different underlying physics.

The paper is organised as follows. In section 2, we briefly present the set up of the muon spectroscopy experiment and the current method of analysing the data from it. In section 3, we present the principal component (PC) analysis in general and how we used it in practice. Then, in section 4, we move on to results of applying PCA to data from different materials and discuss in detail how the method performs. We summarise the results in section 5.

## 2. Muon spectroscopy experiment

The general setup of a  $\mu$ SR experiment design to measure the local magnetic environment consists of spin-polarised muons being implanted into a sample, which is surrounded by multiple positron detectors. Once they enter the sample, muons will interact with the atoms causing them (muons) to thermalise and eventually implant themselves at some sites of the system. The spin of the muons will start to precess due to the local magnetic field and the muons will eventually decay into positrons and neutrinos with a mean life time of  $2.2 \mu\text{s}$ . The positron velocity direction is directly connected to the muon spin orientation at the time of decay [24–27] and therefore the intrinsic

magnetic field of the sample will affect the final distribution of positron detection events.

A commonly used setup is to have symmetrical detectors in front of (F) and behind (B) the sample (with respect to the muon beam). The quantity that we are interested in is the difference in number of counting events between the two detectors as a function of time  $N_i(t)$ ,  $i \in \{F, B\}$ , called the asymmetry function

$$A(t) = \frac{N_B(t) - N_F(t)}{N_B(t) + N_F(t)}. \quad (1)$$

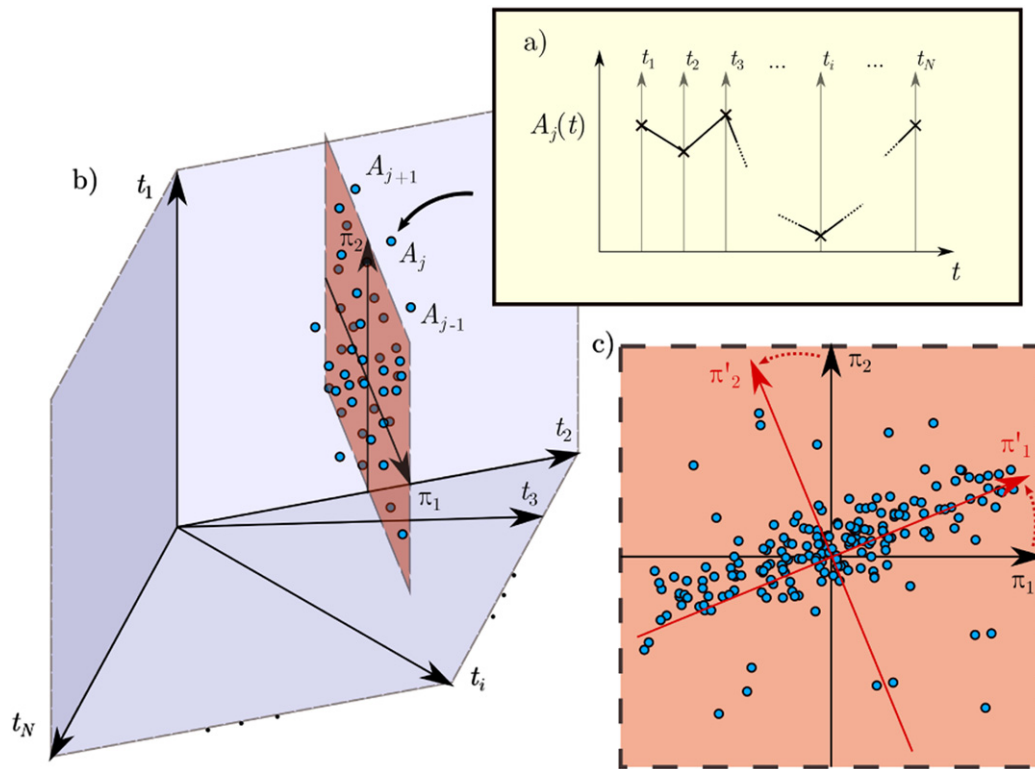
The analysis of the data involves fitting specific asymmetry curves to the experimentally-obtained curve. Given some knowledge of the underlying physics for a particular material and/or some justified assumptions, a model can be formulated, and the asymmetry curve can be derived from it. In some simple cases appropriate closed-form expressions can be derived [28, 29], though more generally ad hoc calculations are necessary [30]. For some systems, our understanding is still not sufficiently developed for such predictions—for instance, the theory of zero-field muon spin relaxation (ZF- $\mu$ SR) in superconductors with broken time-reversal symmetry (TRS) is still in its infancy [31].

In practice, for complex systems it is customary to use a phenomenological expression featuring several adjustable parameters. Electronic order can then manifest as a temperature-dependence of those parameters. For instance, in ZF- $\mu$ SR investigations of superconductors [32] one often fits:

$$A_{\text{phen.}}(t) = A_0 G_{\text{KT}}(\sigma, t) \exp(-\lambda t) + A_{\text{bckg}}, \quad (2)$$

where  $G_{\text{KT}}(\sigma, t)$  is the Kubo–Toyabe function describing coupling to static, randomly-oriented magnetic moments [28, 29, 33, 34] with relaxation rate  $\lambda$  and Gaussian magnetic field strength distribution with standard deviation  $\sigma$ . The parameters  $\sigma, \lambda, A_0, A_{\text{bckg}}$  are then interpreted to describe distinct relaxation mechanisms. In conventional superconductors these parameters tend to evolve smoothly through the superconducting critical temperature,  $T_c$ . In other systems, marked changes in some of these parameters occur at  $T_c$  [35]. These are often interpreted as evidence of broken TRS and in some systems this has been confirmed by Kerr effect or SQUID magnetometry. Quite frequently, it is found that only one of the fitting parameters in equation (2) depends on temperature. This is usually either  $\sigma$  or  $\lambda$ , which naturally leads to a classification of TRS-breaking superconductors. We note, however, that the relaxation rates involved are very small, meaning that only a small portion of the curve described by equation (2) is represented in the experimental data sets (due to the finite lifetime of the muon). As a result, this classification may not always be as robust as would be desirable. For instance, some superconductors that are expected to have very similar underlying physics can fall in different classes. Such is the case of the proposed nonunitary triplet superconductors  $\text{LaNiC}_2$  [36] and  $\text{LaNiGa}_2$  [37], whose asymmetry functions are best described by a temperature-dependent  $\sigma$  and  $\lambda$ , respectively, in spite of experimental [38, 39] and theoretical [32] evidence of very similar underlying physical mechanisms. Likewise, the muon spin relaxation rate in spin glasses can often be described by





**Figure 1.** Representation of the PC analysis in high-dimensional data space. The asymmetry functions  $A_j(t)$  consist of  $N$  real values representing time windows  $t_i$ ,  $i = 1, 2, \dots, N$ . Each of  $t_i$  can be thought of as independent dimension (a). In this framework, we can represent each individual asymmetry function  $A_j$  as a point in  $N$ -dimensional space (b)<sup>5</sup>. We expect correlations between different asymmetry functions, which means that the data can be projected into a smaller subspace of initial  $N$ -dimensional space, without loss of information. The actual PC analysis (c) can be represented as a rotation of initial coordinate space  $(\pi_1, \pi_2)$  into a new  $(\pi'_1, \pi'_2)$  so that most of the covariance is captured by the  $\pi'_1$  dimension. The vectors in new basis  $(\pi'_1, \pi'_2)$  are called PCs and are usually numbered according to the amount of covariance they hold. Note: the projection onto  $\pi_1 \times \pi_2$  plane is not a part of PC analysis. The PCA rotates whole data space (after removing the average so that the cluster of data is centred at the beginning of coordinates) and then one can choose how many PCs (dimensions) must be used to represent the data well, based on the total covariance they hold.

a stretched exponential function (with temperature-dependent exponent), reflecting the variation in local spin fluctuation rates as well as non-exponential decay at muon sites [40–42]. However, fitting experimental data can give parameter values that are not expected from standard models/numerical analysis [43]. In conclusion, it would be highly desirable to have a way of analysing the temperature-dependence of  $\mu$ SR spectra that can detect electronic ordering transitions without the need to assume any *a priori* fitting functions.

### 3. PC analysis

To analyse the data from a muon spectroscopy experiment without making assumptions about the physical nature of the materials, we decided to use an unsupervised ML technique called PCA [7, 44, 45]. The concept behind it—in the context of muon spectroscopy experiment and asymmetry functions—is presented in figure 1. We can think about different experimental measurements as points in some data space with  $N$  dimensions. In the case of muon spectroscopy, each dimension  $i = 1, 2, \dots, N$  represents a time window  $t_i$ , within which the positron detections are measured. If the measurements are not random but correspond, for example, to the same

material at different temperatures, we expect correlations between those points. PCA can detect these correlations by first removing the average of all experimental curves, then measuring the covariance for each dimension and linearly transforming the coordinates so that the new basis of the data space consists of only few directions that capture most of the covariance. The vectors of this new basis are called PCs and can be thought of as the most common deviations from the average curve. We can reconstruct all of the measurements used in the analysis by adding to the average a linear combination of PCs. We can also represent each curve by specifying its projections onto the PCs, which are often called PC ‘scores’. Thus, PCA provides us with a more compact description of the experimental data and additionally we can recover information about linear correlations from their magnitudes (or PC scores) and shapes (the PCs, or PC vectors).

In the example shown in figure 1(c), most of the data lies in two-dimensional space  $\pi_1 \times \pi_2$ . PCA finds new orthogonal directions  $(\pi'_1, \pi'_2)$ , because there exist linear correlation

<sup>5</sup> In panel (b), each of the directions  $t_1, t_2, \dots, t_N$  should be understood as being orthogonal to any other, thus spanning an  $N$ -dimensional target space representing a full dataset from an individual measured asymmetry function as a function of time.

between the  $\pi_1$  and  $\pi_2$  coordinates of data points. We can now specify each asymmetry curve by its projection onto  $\pi'_1$ , whereas before we would have to state both  $\pi_1$  and  $\pi_2$  coordinates. We do lose some information about the individual data points in this way, but we gain in the more compact representation of asymmetry curves. Usually, more than one PC is needed to represent the data well. The number of important PCs varies with different data sets and can be decided by looking at how much covariance each PC holds.

We now present a more specific description of the PCA method. Each measurement can be represented as a vector  $\mathbf{a}_j = (A_j(t_1), A_j(t_2), \dots, A_j(t_N))^T$ ,<sup>6</sup> with its values equal to the values of asymmetry function at specific times and the index  $j = 1, 2, \dots, M$  taken to label the distinct measured asymmetry curves that we want to analyse by the algorithm. We further assume that all measurements were recorded for the same set of  $N$  measurement times  $t_i$ , taken relative to the time for implanting the muon into the material. We combine the vectors  $\mathbf{a}_j$  in column form to construct a matrix  $\mathbf{A}$

$$\mathbf{A} = \begin{bmatrix} A_1(t_1) & A_2(t_1) & \dots & A_M(t_1) \\ A_1(t_2) & A_2(t_2) & \dots & A_M(t_2) \\ \vdots & \vdots & \ddots & \vdots \\ A_1(t_N) & A_2(t_N) & \dots & A_M(t_N) \end{bmatrix} \quad (3)$$

In the next step we remove the mean of each vector dimension (i.e., averaging over the column index) so that the whole data is centred around the coordinate origin, as shown in figure 1. We end up with a matrix  $\mathbf{X}$  with elements given by

$$[\mathbf{X}]_{ij} = A_j(t_i) - \frac{1}{M} \sum_{k=1}^M A_k(t_i). \quad (4)$$

The most common way for obtaining PCs is to perform a singular value decomposition of  $\mathbf{X}$ . To this end, we evaluate the covariance matrix

$$\mathbf{S} = \frac{1}{M-1} \mathbf{X} \mathbf{X}^T, \quad (5)$$

such that the eigenvectors of  $\mathbf{S}$  are the PCs and the corresponding eigenvalues indicate the amount of covariance captured by the given PC. If we write the eigenvectors into a matrix  $\mathbf{U}$ , then a table of scores  $\mathbf{C}$  for each measurement can be obtained by the matrix product

$$\mathbf{C} = \mathbf{U}^T \mathbf{X}, \quad (6)$$

and the full reconstruction of the initial experimental data is expressed as

$$\mathbf{R} = \mathbf{U} \mathbf{C}^T. \quad (7)$$

The previously discussed usefulness of the method derives from the fact that we can choose only the few PCs that capture most of the covariance in order to accurately reconstruct the initial data. Naturally, a large reduction in the number of relevant PCs does not have to arise for all possible data sets, as singular value decomposition only performs a linear transformation—in particular, if the data has non-linear correlations

the method will not perform well. Fortunately, looking at the eigenvalues of  $\mathbf{S}$ , one can decide if the linear PCA is sufficient, based on the decrease of PC scores which is often illustrated in a so-called scree plot of the PC scores against their index.

In order to account for the experimental noise in the data, we have re-binned raw data into new time windows according to the measurement error. Since the error increases with time, wider time windows are required at larger times to get comparable errors. Hence, available measurement points are more widely spaced at later times, as can be seen in figures 2(a)–(c). It is important to re-bin all of the measurements simultaneously because all time-windows  $t_1, t_2, \dots, t_N$  in our matrix  $\mathbf{A}$  have to be the same for all columns for the PCA to be well defined. Note that this specification mirrors the treatment in regression methods, where less weight is attributed to data at long times to account for the larger measurement errors. Our binning procedure is discussed in detail in appendix A.

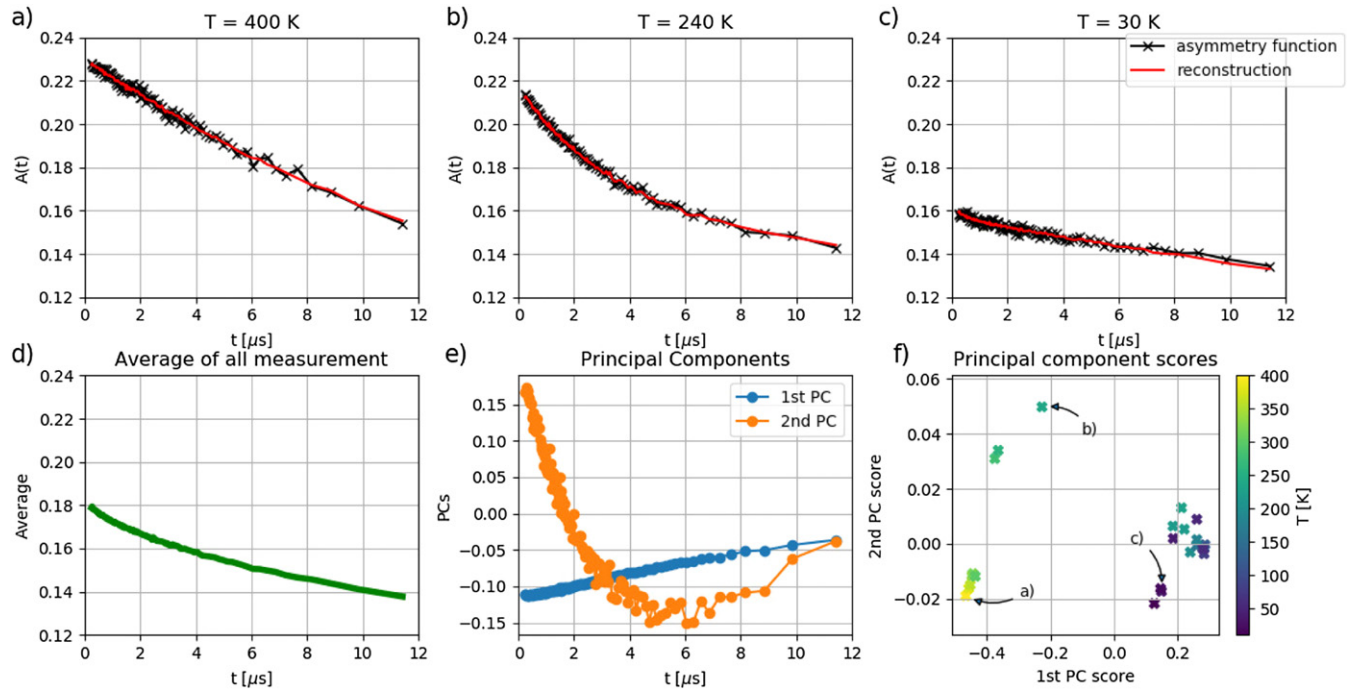
### 3.1. Philosophy of our PCA approach

It is worth noting that in the PCA method presented above we do not have to make any assumptions about the shapes of asymmetry curves. There are no hyperparameters to vary, and SVD gives a unique representation of the sought asymmetry functions (up to a simultaneous change of sign of the PCs and the associated scores). Therefore we think that it provides an interesting alternative to fitting methods, where some initial knowledge of the probed material is needed. We would like to emphasize that it does not necessarily yield better results, but it can be applied to any type of input data reflecting all possible shapes of asymmetry functions. Furthermore, by examining scree plots of the PC scores, we are always able to judge how well the method performs in compressing the relevant data.

In figure 2, we show an example that illustrates how the method detects changes in the shape of a set of experimentally measured asymmetry functions, obtained for a single material at different temperatures. The way in which those functions differ from each other is reflected in their respective scores for the 1st and 2nd PCs. Both high temperature (a) and low temperature (c) measurements have almost linear shape and they only differ in the values for the first PC score. Looking at the 1st PC shape (panel (e), blue curve), we can see that it is also almost linear and when multiplied by a large negative value—as it is for high temperature asymmetry function—and then added to the average (d), it increases the overall slope. For the low temperature curve, it is added with positive sign, which means that it will instead decrease the slope. We can see that it is exactly the difference between two asymmetry functions (a) and (c). On the other hand the middle curve (b) differs mostly in second PC scores from the other two. When the second PC vector ((e), orange curve) is multiplied by a positive value and added to the average, it creates a more convex curve, which is reflected by the shape of the corresponding asymmetry function (b).

As a final remark on applying PCA to muon spectroscopy data, we state that the method presented here cannot distinguish between sources of differences between asymmetry functions. This means that it might be affected by physical

<sup>6</sup> Here  $A(t)$  stands for the measured quantity as defined in equation (1).



**Figure 2.** An illustration of how PC analysis can be used to reduce the dimensionality of a muon data set. The set consists of a sizeable number of experimentally-obtained muon asymmetry functions  $A(t)$ . The black curves in panels (a)–(c) present three particular examples. Each curve has 110 time stamps and therefore constitutes a point in a 110-dimensional space. PCA yields a small number of PCs which, through linear combination, can accurately describe any curve in the data set. In our case, we find the two PCs shown in panel (e). The reconstruction of the original data using the PCs and the average (d) (see equation (4)) can be obtained by the formula  $\text{reconstruction} = \text{average} + 1\text{st PC score} \times 1\text{st PC} + 2\text{nd PC score} \times 2\text{nd PC}$ . From that we can interpret the PCs as the most common deviations from the average curve. The reconstructions are shown, for our three examples, by the red curves in panels (a)–(c). This gives an accurate reconstruction and therefore enables us to represent each curve by a single point on a two-dimensional plane (f). For this example we used 25  $A(t)$  curves for the material  $\text{BaFe}_2\text{Se}_2\text{O}$  obtained at 25 different temperatures.

phenomena which are intrinsic to muons and not the probed material, such as thermal or quantum hopping of the muons. These effects have been studied in copper [46–48] and battery materials [49–52], and mostly affect the tail of asymmetry curves. More investigation is required to see if PCA is able to filter out those effects by capturing them in a single PC.

## 4. Results and discussion

### 4.1. PCA for simulated data

To illustrate characteristic results of performing PCA on asymmetry functions, we first consider an example application to synthetic data generated from model Kubo–Toyabe functions  $G_{\text{KT}}(\sigma, t)$  with added error  $E(t)$ . Each such simulated asymmetry function was taken from the general form given by

$$A_{\text{sim}}(t; T) = A_0 G_{\text{KT}}(\sigma(T), t) \exp(-\Lambda t) + A_{\text{bckg}} + E(t), \quad (8)$$

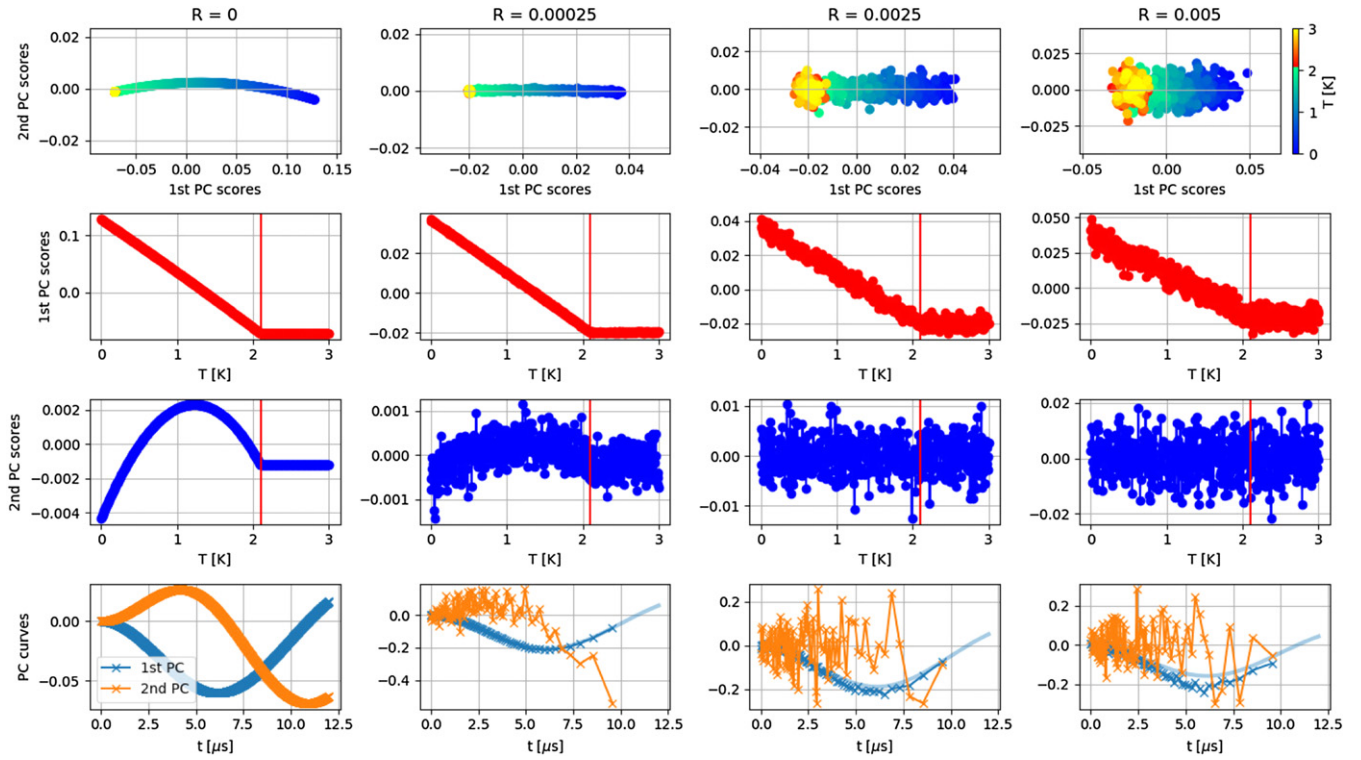
where we have further encoded a dependency of  $\sigma(T)$  associated with a symmetry-breaking phase transition in many superconductors with TRSB, such that  $\sigma(T) \sim \text{constant}$  for  $T > T_c$ , while varying linearly below  $T_c$ . The error values were generated from a Gaussian distribution  $N(\mu = 0, \Sigma_{\text{sim}})$  centred on

zero and with a standard deviation<sup>7</sup>  $\Sigma_{\text{sim}}(t)$  depending on time after muon implantation as:

$$\Sigma_{\text{sim}}(t) = R(a^t + b). \quad (9)$$

Errors observed in real measurements increase with time  $t$  due to the overall smaller number of events detected at later times. The parameters  $A_0$ ,  $\sigma(T)$ ,  $\Lambda$ ,  $A_{\text{bckg}}$ ,  $R$ ,  $b$ , and  $a$  were chosen to match experimental data of one of the TRSB superconductors we studied ( $\text{LaNiGa}_2$ ). In addition to the parameters reflecting experimental conditions, we studied the effect of different error amplitudes  $R$  (which in experiments would correspond to experiments undertaken with different amounts of time allocated for integrating the signal) in order to verify robustness of the PCA approach. Our results from the application of PCA to these simulated data is displayed in figure 3. We have included four possible cases of ‘noise’ amplitudes ranging between no error and twice the error we expect from our measurements. The PCA on clean data clearly captures the transition temperature  $T_c$  assumed in the simulated data, which separates regions of temperature with or without variation of the PC scores with  $T$ . The first principal score dependency is found to be very robust to added noise, even for the cases where the error is much larger than expected experimentally.

<sup>7</sup> We use the symbol  $\Sigma$  for the standard deviation of simulated errors, to distinguish it from the parameter  $\sigma$  of the Kubo–Toyabe form.



**Figure 3.** Results of PCA performed on Kubo–Toyabe functions for a range of different simulated error. The third column ( $R = 0.0025$ ) corresponds to error similar to our experimental measurements. On top row are the values of 1st vs 2nd PC scores and the change with temperature, 2nd and 3rd row are showing how PC scores change with temperature (the vertical red line corresponds to expected phase transition) and on bottom row the shapes of two most important PC are shown. The scaled curve of first PC without error was presented on the background of cases with noise.

By contrast, the second PC does not seem to hold any useful information for realistic noise level. Note also the small overall scale of the second PC score. Nevertheless, the phase transition is always clearly visible in the 1st PC, which motivates using PCA for experimental data.

#### 4.2. PCA for experimental data

We applied PC analysis to data from zero-field  $\mu$ SR experiments for a range of different materials. Among them are TRS breaking superconductors<sup>8</sup> ( $\text{LaNiGa}_2$ ,  $\text{LaNiC}_2$ ,  $\text{LaNi}_{1-x}\text{Cu}_x\text{C}_2$ ), spin liquid ( $\text{LuCuGaO}_4$ ) and an antiferromagnet (iron oxyarsenide  $\text{BaFe}_2\text{Se}_2\text{O}$ ). We first performed the analysis for each material separately. The shape of the two most important PCs and the dependence of the scores on temperature are presented on figure 4.

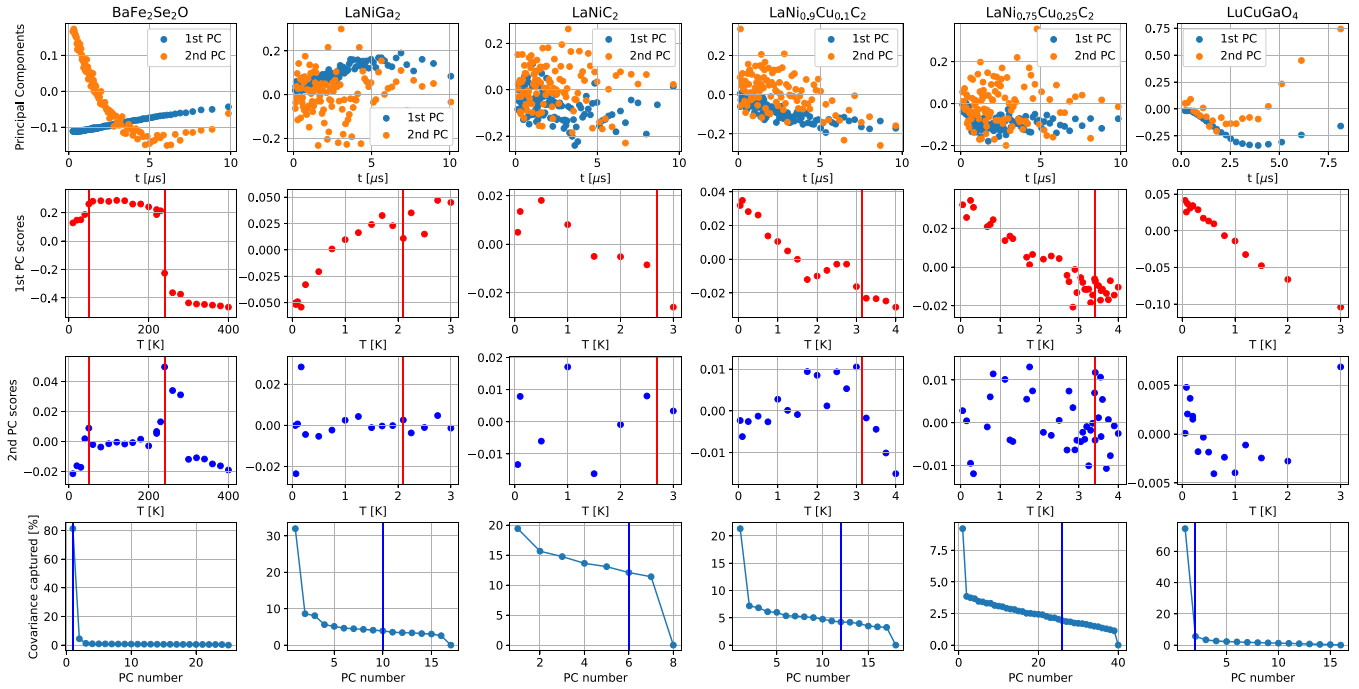
Our technique worked best for the antiferromagnetic material (first column on figure 4), for which both expected phase transitions are clearly visible. Although the magnetic behaviour of the antiferromagnet  $\text{BaFe}_2\text{Se}_2\text{O}$  is relatively simple [54], this understanding has been challenging to arrive at: (a)  $T_N \sim 240$  K is clear from neutron powder diffraction experiments but is more subtle in magnetic susceptibility measurements [54–56] due to the layered nature of the material; (b)

magnetic susceptibility data collected on several samples suggest a magnetic phase transition at  $\sim 115$  K [54, 55] which is now thought to be due to  $\text{Fe}_3\text{O}_4$ -related impurities and is not intrinsic to the main phase [54]; (c) there's no evidence for the low-temperature  $\sim 40$  K phase transition from neutron powder diffraction [54] or heat capacity data [56] and this phase transition is thought to involve freezing of spin fluctuations. It is striking that this unsupervised ML analysis correctly identified the two phase transitions intrinsic to  $\text{BaFe}_2\text{Se}_2\text{O}$  without the need for complementary data. We think that this reflects the strength of both the PCA analysis and the  $\mu$ SR technique.

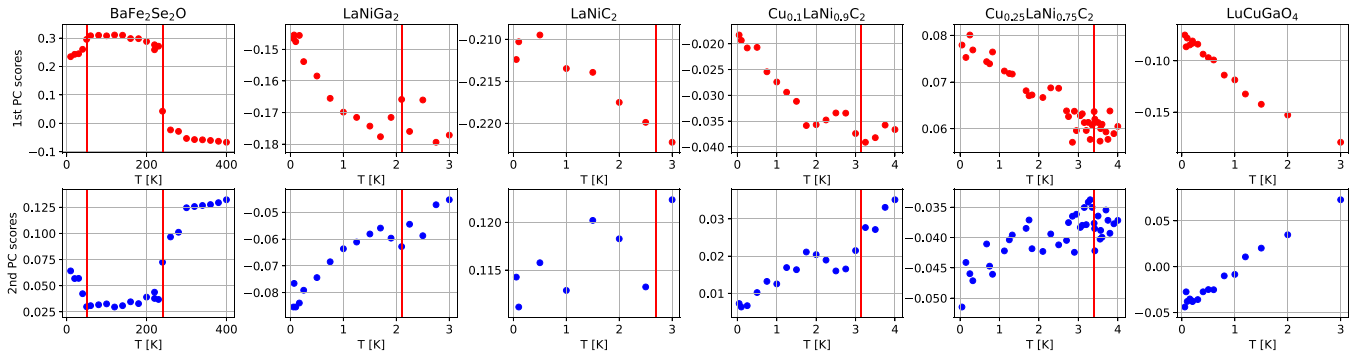
The changes in the asymmetry function are more subtle for the superconducting materials (second-fifth column on figure 4), but the behaviours of PC scores still change at the critical points. We stress that in conventional superconductors we would not expect any change of zero-field muon-spin relaxation at the superconducting transition temperature  $T_c$ . By contrast,  $\text{LaNiC}_2$  and  $\text{LaNiGa}_2$  are known to exhibit such changes, and this is believed to be a manifestation of their internally-antisymmetric, non-unitary triplet (INT) pairing states with TRSB [32]. In the case of  $\text{LaNiC}_2$  (third column), we only have one point above the phase transition and therefore we do not expect visible change. That is confirmed in PC score plots. Worth mentioning is also the  $\text{LaNi}_{0.9}\text{Cu}_{0.1}\text{C}_2$  case, in which there seem to be more than one critical point, at least in the behaviour of the 1st PC score. That might be caused by some

<sup>8</sup> TRSB in  $\text{LaNiGa}_2$  and  $\text{LaNiC}_2$  is well established [32]. To our knowledge, evidence for TRSB in the closely-related case of  $\text{LaNi}_{1-x}\text{Cu}_x\text{C}_2$  is presented here for the first time.





**Figure 4.** Results of PC analysis performed independently for each material. The top row presents the shapes of the 1st and 2nd PCs. For almost all the cases second principal does not look as smooth as the 1st PC. The second and third row display the dependence of PC scores on temperature for the 1st and 2nd PCs, respectively. The red vertical lines indicate approximately where we expect phase transitions to occur [53, 54]. The last row presents a scree plot for the amount of covariance that each PCs captures. Here, blue lines indicate how many PCs are needed to capture 80% of the total covariance.



**Figure 5.** Results of PC analysis performed simultaneously on experimental data from all materials. The first and second row display the dependence of PC scores on temperature for the 1st and 2nd PCs, respectively. The red vertical line indicate approximately where we expect phase transitions to occur [53, 54].

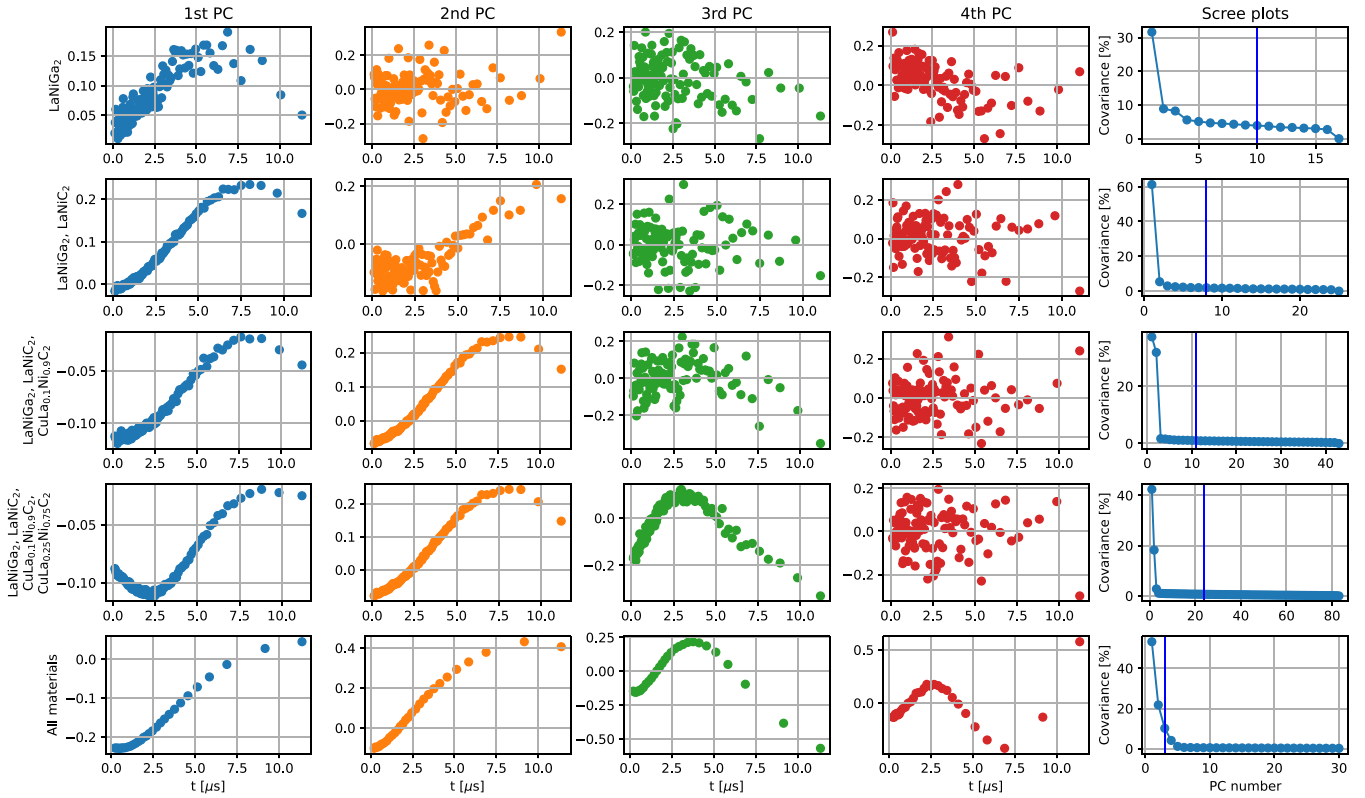
other phase transition but more probably it is caused by limitations of the method. One solution to that problem would be to look also at the 2nd PC score, where only one transition point is prominent. Overall, linear PCA seems to be performing better for the spin liquid and antiferromagnetic materials than for the TRS breaking superconductors analysed in this paper, as is evidenced in our scree plots (the last row on figure 4). For the first four materials, even the last few PCs hold a significant amount of covariance<sup>9</sup>. That may imply that the data has non-linear correlations or that we did not have not enough data

<sup>9</sup> The singular value decomposition yields  $\min(N, M)$  singular values, so for the case of  $N > M$  the covariance captured by the last PC will formally vanish, by definition.

available for these types of materials, since most ML algorithms perform better the more data is provided. It is important to note that we can still resolve the changes in the scores of 1st and 2nd PCs, at least for  $\text{LaNiGa}_2$  and  $\text{LaNi}_{0.9}\text{Cu}_{0.1}\text{C}_2$ .

The last studied material is a proposed spin liquid— $\text{LuCuGaO}_4$ . Muons have been used as a proof of a spin liquid state, as it can be argued that the resultant dynamics could show a plateau in the relaxation rate with reducing temperature where no long range order is detected [57]. In our case the PCA shows no evidence for a phase transition, even though a plateau is observed, likely indicating there is no phase transition as the proposed liquid state is entered.

Because the most significant PCs for the TRS breaking superconductors look similar for all the cases studied, in hope



**Figure 6.** Comparison of PCA method, when performed on different amounts of data. The rows correspond to results of PCA when applied to data from single material (first row), from two materials (second row), from three materials (third row), from four materials (fourth row) and all materials (fifth row). The materials used are named to the left of the plots. The first four columns present the shapes of PCs and the last column shows scree plots, with blue vertical lines indicating number of PCs that are needed to capture 80% of covariance. The improvement of the method can be seen in PC curves, which gradually become smoother functions.

of improving results for TRSB systems, we proceed to apply PCA to all of the experimental data simultaneously. The results for PC scores are presented on figure 5 and the improvement of PCs are shown on figure 6. The PCs are now much smoother functions and additionally, only three PCs are sufficient to capture 80% of the observed covariance. The scores of the first PC did not change much for all materials, despite their different physical properties. This is probably connected to the fact that all data come from the same type of experiment and all asymmetry functions are similar in general.

We note that the data for both  $\text{LaNi}_{1-x}\text{Cu}_x\text{C}_2$  materials was previously unpublished. Using PCA, we were able to see signs of the superconducting phase transition in zero-field  $\mu\text{SR}$  experiments, which is the first evidence that the TRSB of  $\text{LaNiC}_2$  also exists in these Cu-doped materials. The increased onset temperature is consistent with the known enhancement of  $T_c$  with Cu doping [53].

## 5. Conclusions

We have proposed the use of PC analysis to process muon spin spectroscopy data, and in particular to aid with the identification of features relating to phase transitions in the probed materials. Our results demonstrate that the representation of the observed asymmetry functions in the space of PC vectors is sensitive to changes in the physics of the observed system.

In particular, the evolution of PC scores as a function of tuning parameters provides insights into the location of possible phase transitions. Comparing this analysis to a more conventional approach, based on regression analysis using standard fitting functions, we find that PCA is typically at least as sensitive, if not more. More importantly, the PCA approach is free from any underlying assumptions about the physics of the observed material: rather than assuming a specific form of a fitting function (e.g. Kubo–Toyabe or stretched exponential), PCA discovers the PCs that describe a given system, without human intervention. This is the salient feature of the method we put forward and it means that the same, universal analysis can be applied to any material.

In addition to the universality of our method, we have found that the quality of the results is enhanced when data for multiple materials are analysed as a joint dataset, even when the underlying physics of each system being considered are quite different. The ability to thus enhance understanding gained from a new experiment based on existing data goes beyond the possibilities of preexisting approaches, where data for each material is necessarily analysed and fitted in isolation, and overarching commonalities are anticipated in advance by the formulation of a suitable fitting function. We anticipate this could offer great advantage when deployed in large-throughput user facilities. In particular, given the advantages gained from combining multiple data sets, our results suggest a new way

to leverage recently-developed open-data tools and policies [58, 59].

We hope that our unsupervised ML approach to muon spectroscopy data analysis could become one of the standard tools used in that field. In addition to its virtue, noted above, of providing a unified way of treating all muons data, we believe our approach can also accelerate future experiments, as the treatment within this framework will require less data to be collected before signatures of the physics can emerge—especially if data from previous experiments is used to enhance the analysis of new materials as outlined above. In addition, the simplicity of the analysis means that it could easily be performed immediately while experimental measurements are being taken, thus opening the possibility to inform the conduct of the experiment in real time. At the other end of the spectrum, it is also possible to conduct experiments where much larger data sets are gathered [60]. Our simulations suggest that our method applied to such data might yield valuable new insights into phase transitions. They also would be ideal additions to such past-experiment data bank. Given the advantages gained from combining multiple data sets, our results should encourage the community to gather historic and future measurements in a common database in order to harvest the benefits of this approach.

A possible future extension of our work would be to deploy an additional unsupervised ML technique to analyse the output of our analyses as presented here. The principal score dependencies (as shown in figure 5) in the method presented here still need to be processed by human eye to establish phase transition temperatures. There exist ML tools that could categorise different phases from the PC scores, that have been shown to work well with model data [61]. It would be interesting to apply them to our problem.

### Individual author contributions

T Tula implemented the PCA algorithm and performed the analysis of the simulated and experimental data presented in this paper under supervision from J T Quintanilla and G Möller. S R Giblin, A D Hillier, E E McCabe and S Ramos provided, formatted and commented on the experimental data. D S Barker performed a preliminary study of PCA applied to experimental and simulated  $\mu$ SR data under supervision of J Quintanilla, with further input from S Gibson. T Tula wrote the manuscript in close consultation with G Möller and J Quintanilla and with input from all co-authors.

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### Data availability statement

The data that support the findings of this study are available upon reasonable request from the authors.

### Appendix A. Re-binning of data

The error in muon spectroscopy measurements increases at later times due to the smaller number of overall positron detection events. Since PCA treats each dimension (time window) equally, one needs to pre-process the raw data by re-binning the time windows. While this differs from the exact way in which errors are treated in a standard fitting procedure, where a weight function is applied to give less weight to data with larger errors, our approach is broadly equivalent in that it makes sure that the standard errors of the rebinned time points are roughly the same for every measurement. Specifically, we have set up an algorithm for re-binning the data, such that each new time bin holds the same magnitude of error averaged over all measurements. To illustrate how the algorithm proceeds, let us consider the matrix  $\mathbf{E}$ , holding the raw values of standard deviations at each time point and for each asymmetry curve (similarly to the matrix  $\mathbf{A}$  from equation (3)):

$$\mathbf{E} = \begin{bmatrix} E_1(t_1^{\text{raw}}) & E_2(t_1^{\text{raw}}) & \dots & E_M(t_1^{\text{raw}}) \\ E_1(t_2^{\text{raw}}) & E_2(t_2^{\text{raw}}) & \dots & E_M(t_2^{\text{raw}}) \\ \vdots & \vdots & \ddots & \vdots \\ E_1(t_N^{\text{raw}}) & E_2(t_N^{\text{raw}}) & \dots & E_M(t_N^{\text{raw}}) \end{bmatrix}, \quad (\text{A.1})$$

where  $M$  is the number of asymmetry functions that we consider in the analysis and  $N$  corresponds to the number of time windows in raw data. We set the first time window  $t_1$  to be equal to  $t_1^{\text{raw}}$ , which holds the average error of

$$\bar{E}_{t_1} = \frac{1}{M} \sum_{i=1}^M E_i(t_1^{\text{raw}}). \quad (\text{A.2})$$

Then we iterate over  $t_j^{\text{raw}}$  to create new bins in the following way: suppose we created a new bin  $t_{k-1}$  by including raw data up to the original bin at time  $t_{j-1}^{\text{raw}}$ . We then evaluate

$$\frac{\bar{E}_{t_1}}{\bar{E}_{t_j^{\text{raw}}}}, \quad (\text{A.3})$$

with  $\bar{E}_{t_j^{\text{raw}}} = \frac{1}{M} \sum_{i=1}^M E_i(t_j^{\text{raw}})$ . We know that (A.3) is smaller than 1, since the standard deviation is increasing with time due to decreasing muon counts. If (A.3) is close to one, then the amount of averaged error is similar to the first bin and we can leave the time window  $t_k = t_j^{\text{raw}}$ . However, if it reaches certain

threshold, we add another time window  $t_{j+1}^{\text{raw}}$  and evaluate:

$$\frac{\bar{E}_{t_1}}{\sqrt{(\bar{E}_{t_j^{\text{raw}}})^2 + (\bar{E}_{t_{j+1}^{\text{raw}}})^2}}. \quad (\text{A.4})$$

We repeat this procedure until

$$\frac{\bar{E}_{t_1}}{\sqrt{\sum_{l=0}^{L_k-1} (\bar{E}_{t_{j+l}^{\text{raw}}})^2}} \approx 1, \quad (\text{A.5})$$

and we set a new time bin  $t_k = \frac{1}{L_k} \sum_{l=0}^{L_k-1} t_{j+l}^{\text{raw}}$ .

When the different asymmetry functions come from the same material, we expect our method of re-binning to work well. One might expect that problems could arise if we consider sets of measurements for different materials of strongly different amount of statistics. However, one can prove that as long as the time dependency of the error follows the same functional behaviour for those sets (i.e., the errors differ just in a scale factor), the re-binning will not be affected. Generically, we expect that the overall envelope of the number of counts is set by the exponential decay of muons, which is set by the universal muon lifetime, and material-specific details will provide sub-dominant changes to this overarching behaviour.

## Appendix B. Software

We wrote implementation of PCA for data from experiment as a package for python. Current version of the code, finished at the time of publishing this article, can be found in [62].

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